

### Amorphous solid dispersion enhances permeation of poorly soluble ABT-102: True supersaturation vs. apparent solubility enhancement

Frank, Kerstin ; Brandl, Martin

Published in: International Journal of Pharmaceutics

DOI: [10.1016/j.ijpharm.2012.08.014](https://doi.org/10.1016/j.ijpharm.2012.08.014)

Publication date: 2012

Document version: Submitted manuscript

Citation for pulished version (APA):

Frank, K., & Brandl, M. (2012). Amorphous solid dispersion enhances permeation of poorly soluble ABT-102: True supersaturation vs. apparent solubility enhancement. International Journal of Pharmaceutics, 437(1-2), 288-293.<https://doi.org/10.1016/j.ijpharm.2012.08.014>

[Go to publication entry in University of Southern Denmark's Research Portal](https://portal.findresearcher.sdu.dk/en/publications/a72ea8b2-5fe0-40c1-b844-a2745f163afe)

#### Terms of use

This work is brought to you by the University of Southern Denmark. Unless otherwise specified it has been shared according to the terms for self-archiving. If no other license is stated, these terms apply:

- You may download this work for personal use only.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
	- You may freely distribute the URL identifying this open access version

If you believe that this document breaches copyright please contact us providing details and we will investigate your claim. Please direct all enquiries to puresupport@bib.sdu.dk

### **AUTHOR QUERY FORM**

<span id="page-1-1"></span>

<span id="page-1-0"></span>Dear Author,

Please check your proof carefully and mark all corrections at the appropriate place in the proof (e.g., by using on-screen annotation in the PDF file) or compile them in a separate list. Note: if you opt to annotate the file with software other than Adobe Reader then please also highlight the appropriate place in the PDF file. To ensure fast publication of your paper please return your corrections within 48 hours.

For correction or revision of any artwork, please consult [http://www.elsevier.com/artworkinstructions.](http://www.elsevier.com/artworkinstructions)

Any queries or remarks that have arisen during the processing of your manuscript are listed below and highlighted by flags in the proof. Click on the 'Q' link to go to the location in the proof.



Thank you for your assistance.

International Journal of [Pharmaceutics](dx.doi.org/) xx (2012) xxx–xxx

Contents lists available at SciVerse [ScienceDirect](http://www.sciencedirect.com/science/journal/03785173)

### International Journal of Pharmaceutics

journal homepage: [www.elsevier.com/locate/ijpharm](http://www.elsevier.com/locate/ijpharm)



Graphical Abstract



IJP128051–6

# GModel **ARTICLE IN PRESS**

International Journal of Pharmaceutics [xxx \(2012\) xxx–xxx](dx.doi.org/10.1016/j.ijpharm.2012.08.014)



Contents lists available at SciVerse [ScienceDirect](http://www.sciencedirect.com/science/journal/03785173)

### International Journal of Pharmaceutics



iournal homepage: www.elsevier.com/locate/iipharm

#### Amorphous solid dispersion enhances permeation of poorly soluble ABT-102: True supersaturation vs. apparent solubility enhancement 1 2

، **1 Kerstin J. Frank,<sup>a,c</sup>, Karin M. Rosenblatt,<sup>b</sup>, Ulrich Westedt,<sup>b</sup>, Peter Hölig,<sup>b</sup>, Jörg Rosenberg, ,** Markus Mägerlein<sup>6</sup>, Gert Fricker<sup>c</sup>, Martin Brandl<sup>a,∗</sup> 4

a Institute of Physics, Chemistry and Pharmacy, University of Southern Denmark, Campusvej 55, DK-5230 Odense M, Denmark

<sup>b</sup> Abbott GmbH & Co. KG, Knollstrasse 50, D-67061 Ludwigshafen, Germany

<sup>c</sup> <sup>7</sup> Dept. of Pharmaceutical Technology, Institute of Pharmacy and Molecular Biotechnology, University of Heidelberg, Im Neuenheimer Feld 366, D-69120 Heidelberg, Germany

Please cite this article in press as: Frank, K.J., et al., Amorphous solid dispersion enhances permeation of poorly soluble ABT-102: True supersat-

uration vs. apparent solubility enhancement. Int J Pharmaceut (2012), [http://dx.doi.org/10.1016/j.ijpharm.2012.08.014](dx.doi.org/10.1016/j.ijpharm.2012.08.014)

#### 9 ARTICLE INFO

10 11 Article history:

8

12 Received 10 June 2012 13 Received in revised form 5 August 2012

<span id="page-3-0"></span>14 Accepted 9 August 2012

- Available online xxx
- 15
- 16 Keywords:
- 17 Permeability
- 18 Solid dispersion
- 19 Solubility 20 Supersaturation
- 21 FaSSIF

#### A B S T R A C T

Amorphous solid dispersions (ASDs) represent a promising formulation approach for poorly soluble drugs. We explored the formulation-related impact of ASDs on permeation rate, apparent solubility and molecular solubility of the poorly soluble drug ABT-102. The influence of fasted state simulated intestinal fluid (FaSSIF) as dispersion medium was also studied.

ASDs were prepared by hot-melt extrusion. Permeation rate was assessed by the Caco-2 transwell assay. Cell viability and barrier integrity were assured by AlamarBlue©, TEER and permeability of the hydrophilic marker carboxyfluorescein. Apparent solubility and molecular solubility were evaluated by using centrifugation and inverse dialysis, respectively.

The in vitro permeation rate of ABT-102 from aqueous dispersions of the ASD was found 4 times faster than that from the dispersions of the crystals, while apparent solubility and molecular solubility of ABT-102 were increased. Yet, a further increase in apparent solubility due to micellar solubilization as observed when dispersing the ASD in FaSSIF, did not affect molecular solubility or permeation rate.

Overall, a good correlation between permeation rate and molecular solubility but not apparent solubility was seen.

© 2012 Published by Elsevier B.V.

#### <sup>22</sup> **1. Introduction**

 Increasingly, modern drug candidates tend to be poorly sol- uble. Many of them belong to class II of the Biopharmaceutical Classification System (BCS), which predicts the intestinal absorp- tion of a given drug, based on its solubility and permeation across Caco-2 ([Amidon](#page-7-0) et [al.,](#page-7-0) [1995\).](#page-7-0) Class II comprises compounds of poor solubility but high permeability. Bioavailability of such BCS II compounds is restricted by their solubility. During the last years, various advanced oral formulation strategies have been used to enhance solubility and/or dissolution rate of poorly soluble active pharmaceutical ingredients (APIs), such as self (micro)emulsifying drug delivery systems (S(M)EDDS), microemulsions, nanocrys- tals, mesoporous silica and solid dispersions. It is controversially discussed, however, if and how these strategies enhance bioavail-ability [\(Singh](#page-8-1) et [al.,](#page-8-1) [2011\).](#page-8-1)

<sup>37</sup> In a previous study, we investigated how the inclusion of the poorly soluble ABT-102 (TRPV1 antagonist [\(Kym](#page-8-1) et [al.,](#page-8-1) [2009\)\)](#page-8-1)

E-mail address: [mmb@ifk.sdu.dk](mailto:mmb@ifk.sdu.dk) (M. Brandl).

0378-5173/\$ – see front matter © 2012 Published by Elsevier B.V. [http://dx.doi.org/10.1016/j.ijpharm.2012.08.014](dx.doi.org/10.1016/j.ijpharm.2012.08.014)

(chemical structure and characteristics [\(Frank](#page-8-1) et [al.,](#page-8-1) [2012\)\)](#page-8-1) into 39 taurocholate/phosphatidylcholine micelles, contained in simu- <sup>40</sup> lated intestinal fluid, affects apparent solubility and permeation  $41$ rate across Caco-2 in the case of dispersions of the API. Fur-<br> $42$ thermore, a method was developed to determine the molecular 43 solubility in the presence of micelles. It was seen that neither 44 the permeation rate nor the concentration of molecularly dis- <sup>45</sup> solved drug were increased in the presence of the micelles, even  $46$ though the micelles induced a remarkable increase in apparent  $47$ solubility.  $48$ 

In the present study we focused on ASDs generated by hot 49 melt extrusion, which have been described to have a positive 50 effect on bioavailability of poorly soluble drugs [\(Breitenbach,](#page-7-0) [2002;](#page-7-0) 51 [Leuner](#page-7-0) [and](#page-7-0) [Dressman,](#page-7-0) 2000; Vasconcelos et [al.,](#page-7-0) [2007\).](#page-7-0) Typically, the 52 amorphous drug is imbedded in a polymer matrix (solid disper-<br>53 sion) or the drug is molecularly dispersed in the polymer matrix  $\frac{54}{10}$ (solid solution). Both systems contain the drug in its high energy  $55$ state [\(Brouwers](#page-8-1) et [al.,](#page-8-1) [2009;](#page-8-1) [Janssens](#page-8-1) [and](#page-8-1) [Van](#page-8-1) [den](#page-8-1) [Mooter,](#page-8-1) [2009\).](#page-8-1) 56 Typically, ASDs contain surfactants, which act as plasticizers and 57 crystallization inhibitors during production and in the solid state 58 of the ASDs. Furthermore, they serve as wetting agents, precipita-<br><sub>59</sub> tion inhibitors or solubilizing agents in the aqueous dispersions of  $\qquad$  60 ASDs ([Brouwers](#page-8-1) et [al.,](#page-8-1) [2009;](#page-8-1) [Overhoff](#page-8-1) et al., [2008\).](#page-8-1) 61

<sup>∗</sup> Corresponding author at: FKF, SDU, Campusvej 55, 5230 Odense C, Denmark. Tel.: +45 6550 2525; fax: +45 6615 8780.

<span id="page-4-0"></span>2 K.J. Frank et al. / International Journal of Pharmaceutics *xxx (2012) xxx–xxx*

**Table 1** Composition of the extrudate formulation F1 and the corresponding placebo extrudate (API-free formulation F1).



 The aim of the current study was to investigate an aqueous dis-63 persion of an ASD of the poorly soluble compound ABT-102 in terms of apparent and molecular solubility, as well as permeation rate. The ASD examined here consisted of the poorly soluble ABT-102, a hydrophilic polymer, and three surfactants.

#### <sup>67</sup> **2. Materials and methods**

#### <sup>68</sup> 2.1. Materials

 ABT-102 (chemical structure and physicochemical properties published by [Frank](#page-8-1) et [al.,](#page-8-1) [2012\)](#page-8-1) as well as the ASDs (F1 and placebo extrudate; compositions: Table 1) were provided byAbbott GmbH & Co. KG (Ludwigshafen, Germany). A general descrip- tion of the preparation method is given by [Breitenbach](#page-7-0) [\(2002\).](#page-7-0) Hanks balanced buffered salt solution (washing and dispersion medium) and supplementary salts MgSO<sub>4</sub>·7H<sub>2</sub>O, NaHCO<sub>3</sub> and<br> $76$  CaCl<sub>2</sub>·2H<sub>2</sub>O (HBSS++) were obtained from Sigma–Aldrich Chemie CaCl<sub>2</sub>·2H<sub>2</sub>O (HBSS++) were obtained from Sigma<sub> $7$ </sub>-Aldrich Chemie<br>  $77$  GmbH (Munich, Germany), FaSSIF was prepared by dispersing SIF© GmbH (Munich, Germany). FaSSIF was prepared by dispersing SIF© instant powder (Phares Drug Delivery AG, Muttenz, Switzerland) containing taurocholate and lecithin (ratio 4:1) in the FaSSIF blank <sup>80</sup> buffer.

81 For cell culturing, Dulbecco's modified Eagle's medium (DMEM), 82 supplemented with fetal bovine serum (FBS) and other additional 83 ingredients (see Section 2.2.3) were utilized (all Biochrom AG, <sup>84</sup> Berlin, Germany). Rat tail collagen was purchased from Roche <sup>85</sup> Pharma AG (Mannheim, Germany). Bovine serum albumin (BSA), 86 acetonitrile (ACN), Triton X-100, trifluoroacetic acid (TFA), NaOH, 87 NaCl and NaH<sub>2</sub>PO<sub>4</sub> H<sub>2</sub>O were obtained from Sigma<sub> $\pi$ </sub>Aldrich Chemie <sup>88</sup> GmbH.

#### <sup>89</sup> 2.2. Methods

#### <sup>90</sup> 2.2.1. Powder X-ray diffraction

 The ASD was investigated for crystalline parts of ABT-102 using powder X-ray diffraction. Diffraction patterns were recorded 93 using a Panalytical X'Pert Pro MPD diffractometer (Panalytical, Ein-94 shoven, Netherlands) with a Pixel detector, Data Collector and High 95 Score software. Measurements were performed with a Cu K $\alpha$  radiation source at 40 kV voltage and 40 mA current from 2.5 $\ell$  to 3.2 $\circ$  2-theta in a continuous scanning mode. This range was chosen as the biggest reflex was seen to be at 2.9 $\degree$  2-theta ([supplementary](#page-7-0) [data\).](#page-7-0) The instrument was set to a step width of 0.006 $^{\circ}$  2-theta and a measurement time per step of 3000 s. The irradiated sample length was 20 mm.

 Sample preparation was done by milling approximately 1.5 g of ASD with a ball mill (Pulverisette 23, Fritsch, Idar-Oberstein, 104 Germany) at 30 Hz for 30 s. A frontloading 35 mm diameter pow-der diffraction sample holder (Panalytical) was used for the measurements and the sample was covered with a Polyimide (Kap- 106 ton) film (Chemplex, Palm City, FL, USA). 107

#### 2.2.2. Preparation of dispersions 108

Sample dispersions were prepared by dispersing the ASDs 109 (beads) or crystalline ABT-102 in HBSS++ or FaSSIF in a volumetric 110 flask (magnetic stirring at 400 rpm for 1 h at  $37^{\circ}$ C). 111

#### 2.2.3. Apparent solubility and the state of the state

Sample dispersions were prepared as described in Section 2.2.2. 113 Afterwards a defined volume of the aqueous dispersions was 114 transferred into centrifugation tubes, which were centrifuged for 115 60 min at 18  $500 \times g$  at 37 °C (J2-MC, Beckman). These settings were 116 chosen, because the turbidity reached a plateau after 55 min of cen-<br>117 trifugation at  $18,500 \times g$  i.e., all big particles are expected to be spun  $118$ down at that time point. After centrifugation, aliquots of the super- <sup>119</sup> natant were withdrawn, immediately diluted with acetonitrile and 120 analyzed via HPLC-UV/Vis, as described in Section [2.2.9.](#page-5-0)

The centrifugation approach was found inappropriate for deter-<br>122 mination of the apparent solubility of the placebo extrudate plus 123 crystalline ABT-102 due to floating particles. Thus, for this sample,  $124$ separation of particles was performed by membrane filtration (pore 125 size 0.2  $\mu$ m, CA-membrane filter, Buch&Holm, Herlev, Denmark).  $126$ 

#### 2.2.4. Quantification of molecularly dissolved ABT-102

The method has been described by [Frank](#page-8-1) [et](#page-8-1) [al.](#page-8-1) [\(2012\).](#page-8-1) In brief,  $1288$ the sample dispersion (prepared as described in Section 2.2.2) was 129 transferred into a beaker (donor;  $V = 200$  ml). Then, Midi GeBAflex  $130$ dialysis tubes (3.5 kDa  $\mathsf{cut-off}$ , Gene Bioapplication L.T.D., Yavne,  $\qquad \qquad \text{131}$ Israel) filled with 800  $\mu$ l of HBSS++ or FaSSiF blank buffer (both at 132  $37^{\circ}$ C) (acceptor) were put into the sponge-like floating device and  $133^{\circ}$ set into the beaker. The beaker was incubated in a shaking water  $134$ bath (Julabo SW23, Buch & Holm, Herlev, Denmark) at 37 °C and 135 50 rpm. Samples were drawn from inside the dialysis vials (accep- <sup>136</sup> tor) under equilibrium conditions, diluted with ACN and analyzed  $137$ as described in Section [2.2.9.](#page-5-0) Preliminary experiments indicated 138 that equilibrium was achieved after 20 h, and adsorptive drug-loss  $139$ was marginal (data not shown). The same state of the state o

#### 2.2.5. Caco-2 cell culture 141

DMEM, supplemented with 10% FBS, 1% non-essential amino 142 acid,  $1\%$  penicillin-G, 1% streptomycin and 0.5% ciprofloxacin was  $143$ used as cell culture medium. Caco-2 cells (Rockville type) were sup- <sup>144</sup> plied with fresh medium every other day and passaged weekly. For  $145$ experiments, cells between passage numbers 46 and 75 were uti- <sup>146</sup> lized. Cells were seeded on pre-coated (rat tail collagen) 12-well 147 transwell or 96-well plates (Corning GmbH, Life Sciences, Wies- <sup>148</sup> baden, Germany) with a density of approximately 75 000/cm<sup>2</sup> and  $149$ cultivated for  $14<sub>\pi</sub>16$  days at  $37$  °C in  $5\%$  CO<sub>2</sub>, until a confluent monolayer was achieved. 151

#### 2.2.6. Cytotoxicity and the state of the

For evaluation of cytotoxicity, AlamarBlue© (Invitrogen, Carls- 153 bad, CA, USA), assay was applied. Culture medium was removed, 154 cells were washed twice with HBSS++ and then sample dispersions 155 were added. After incubating for 3.5 h at 37 °C, the sample disper-<br>156 sions were discarded, cells were washed again with pre-warmed 157  $HBSS++$  and the testing reagent AlamarBlue© was added. Cells were  $158$ incubated for another 2 h and then fluorescence was measured 159 using a fluorescence plate reader (Fluoroscan Ascent, Labsystems 160 GmbH, Frankfurt, Germany). Fluorescence of sample treated cells 161 was expressed as a ratio relative to the negative control (HBSS++).  $162$ 

#### 2.2.7. Barrier integrity 163

Culture medium was first removed and then cells were washed 164 twice with HBSS++. Thereafter, inserts were set in the cellZscope<sup>®</sup> 165

K.J. Frank et al. / International Journal of Pharmaceutics *xxx (2012) xxx–xxx* 3

<span id="page-5-0"></span> device (nanoAnalytics GmbH, Münster, Germany), HBSS++ was added apically and basolaterally. Next, transepithelial electri- cal resistance (TEER) was measured. After equilibration (approx. 60 min), apical HBSS++ was replaced with sample dispersions and 170 incubated for up to 3.5 h. Throughout the course of the incuba- tion, TEER of all 12 inserts was measured. In addition to the TEER measurements, carboxyfluorescein (CF) was added to the sample dispersions as hydrophilic marker (20  $\mu$ M) and its permeability was evaluated. Samples were withdrawn at five time points from the basolateral side and the concentration of carboxyfluorescein (CF) in the acceptor was measured using a fluorescence plate reader (Fluoroscan Ascent, Labsystems GmbH, Frankfurt, Germany).

#### <sup>178</sup> 2.2.8. Permeation rate

 For evaluation of the permeation rate of ABT-102 across the cell 180 monolayer, cells were treated as described in Section [2.2.5.](#page-4-0) BSA ( $c = 4\%$ , w/w) was added to HBSS++ on the basolateral side to main- tain sink conditions and to saturate unspecific binding sites. This procedure has been described in the literature for performing per- meation rate studies with poorly soluble APIs ([Buckley](#page-8-1) et [al.,](#page-8-1) [2012;](#page-8-1) [Hubatsch](#page-8-1) et [al.,](#page-8-1) [2007\).](#page-8-1)

<sup>186</sup> A three-fold volume of acetonitrile was added to the samples 187 from the acceptor side to precipitate the protein. Next, samples <sup>188</sup> were vortexed, followed by centrifugation for 10 min at 10 000 rpm <sup>189</sup> (CF 5415D, Eppendorf AG, Hamburg, Germany). Upon precipitation 190 of BSA, the supernatant was immediately analyzed by  $HPLC<sub>g</sub>-UV-vis$ 191 (see Section 2.2.9).

<sup>192</sup> The normalized permeation rate (J) was calculated with the for-193 mula  $J = (1/A) \times (dc/dt)$ , where A represents the surface area of the 194 filter and  $dc/dt$  the permeation rate.

#### 195 2.2.9. Quantification of ABT-102 by HPLC<sub> $\tau$ </sub>UV-vis

 The instrument consisted of a separation unit (Ultimate 3000, Dionex Co., Sunnyvale, USA) with a Dionex C18 column  $(4.6 \text{ mm} \times 300 \text{ mm})$  coupled to an UV/Vis detector (Ultimate 3000, Dionex Co., Sunnyvale, USA). Measurements were performed at a Dionex Co., Sunnyvale, USA). Measurements were performed at a flow rate of 1.5 ml/min with a gradient, starting with 45% of eluent A (0.1% TFA in water) and 55% of eluent B (0.1%TFA in ACN), shift- ing to 20% of eluent A and 80% of eluent B over 10 min, followed 203 by 3 min of isocratic flow profile. The injection volume was 100  $\mu$ l. For the analysis of the samples, freshly prepared calibration curves were used  $(R^2 \ge 0.998)$  and quality controls were run after every<br>206 10–20 samples to ensure accuracy of the method throughout the  $10<sub>\kappa</sub>$ 20 samples to ensure accuracy of the method throughout the whole sequence.

#### <sup>208</sup> 2.2.10. Data analysis

<sup>209</sup> Comparison of two data sets was performed by using unpaired 210 Student's t-test (two tailed).  $p \le 0.05$  was considered as significantly 211 different.

#### <sup>212</sup> **3. Results**

#### <sup>213</sup> 3.1. Permeation rate

 Aqueous dispersions (in HBSS++) of the ASD F1 (composition [Table](#page-4-0) 1) were investigated in terms of the permeation rate of ABT- 102 across the cell monolayer (Fig. 1). The obtained permeation rate values were compared to these of dispersions of crystalline ABT-102 in HBSS++, published recently by [Frank](#page-8-1) et [al.](#page-8-1) [\(2012\).](#page-8-1)

 The dispersion of F1 in HBBS++ yielded significantly higher ABT- 102 permeation rates than crystalline ABT-102. Furthermore, there was no significant difference observed when FaSSIF was used as dispersion medium of F1 instead of HBSS++ (Fig. 1). The flux of crystalline ABT-102, dispersed in FaSSIF, was investigated in a pre-vious study and found to be not significantly different from the flux



**Fig. 1.** Caco-2 permeation rates: Normalized flux (divided by area of filter surface) of dispersions of ABT-102 crystals in HBSS++ ( $n=5$ ; mean  $\pm$  SD) and of dispersions of the ASD F1 ( $n = 8$ ; mean  $\pm$  SD) in HBSS++ and **FaSSIF**. \* Significance calculated by unpaired Student's t-test ( $p \le 0.05$ ).

of the ABT-102 crystals dispersed in HBSS++, despite the up to 40 225 times increased apparent solubility ([Frank](#page-8-1) et [al.,](#page-8-1) [2012\).](#page-8-1)

In order to investigate if the observed enhanced permeation 227 rate in the case of the ASD was due to the interaction(s) of excip-<br>228 ient(s) with the Caco-2-barrier, various control-experiments were 229  $performed:$  230

The AlamarBlue© assay was used to assess cytotoxicity of F1 as 231 well as the permeability of carboxyfluorescein (CF) to determine 232 if F1 had a deleterious effect on the membrane's barrier function  $233$ [\(Table](#page-6-0) 2). In both experiments, HBSS++ was used as negative control <sup>234</sup> and Triton  $X-100$  (1% solution), well known for its cell damaging  $235$ effect, as positive control. None of the samples showed a cytotoxic <sub>236</sub> effect. The  $P_{\text{app}}$  values of the hydrophilic CF in the presence of the  $237$ sample dispersions were not significantly different in comparison 238 to the negative control. **239** 

Furthermore, using cellZscope®, it was possible to monitor the 240 TEER throughout the entire course of incubation. There was an ini-<br>241 tial drop of the TEER values observed for all sample dispersions (and 242 the negative control), but the TEER increased again to the initial  $243$ starting value. This drop was probably due to the stress, which the <sub>244</sub> cells experienced because of the aspiration of buffer and addition 245 of the samples. Only in case of the positive control Triton X-100, 246 was the resistance close to zero (*Fig.* [2\).](#page-6-0) 247

In conclusion, a toxic or damaging effect of the sample disper-<br><sub>248</sub> sions on the cell monolayer could be ruled out. 249

Tween 80 is known to have a P-gp inhibiting effect and therefore 250 might alter the permeation rate of a P-gp substrate. However, ABT-<br>251 102 has recently been found to be no substrate of the efflux pump 252 P-gp ([Frank](#page-8-1) et [al.,](#page-8-1) [2012\).](#page-8-1) P-gp inhibition thus is not likely to be the  $253$ reason for increased ABT-102 permeation rate from the ASD F1. 254

#### $2.2.$  Characterization of the solid state 255

ASDs are regarded as promising in terms of enhancing bioavail- <sup>256</sup> ability of poorly soluble drugs under the prerequisite that either  $a_{257}$ dispersion of the amorphous ABT-102 in the polymer matrix (amor- 258 phous solid dispersion) or a solid solution (molecular dispersion) 259 is generated ([Brouwers](#page-8-1) et [al.,](#page-8-1) [2009\).](#page-8-1) Powder  $X$ -ray scattering was 260 performed in order to check the presence of drug crystallites in the 261 ASD. The diffractogram in [Fig.](#page-6-0) [3](#page-6-0) indicates the absence of crystalline  $262$  $ABT-102$  in F1. 263

<span id="page-6-0"></span>

4 K.J. Frank et al. / International Journal of Pharmaceutics *xxx (2012) xxx–xxx*

#### **Table 2**

Influence of the ASD formulation F1, dispersed in HBSS++ and in FaSSIF, on cell viability and on the integrity of the cell monolayer. (a) Cell viability: AlamarBlue® test; mean  $\pm$  SD (n  $\geq$  16). (b) Integrity of the cell monolayer: Carboxyfluorescein permeability; mean  $\pm$  SD (n  $\geq$  4).



Significance calculated by unpaired Student's t-test ( $p \le 0.05$ ).



**Fig. 2.** Transepithelial electrical resistance measurement: TEER (%) related to measured TEER before incubation with sample dispersions on the apical sides ( $n=3-5$ , mean  $\pm$  SD). All starting values were >250  $\Omega$  cm<sup>2</sup>. -  $\blacktriangledown$  - F1 in FaSSIF; -  $\blacktriangle$  - F1 in HBSS++; –– Triton-X (positive control); HBSS++ (negative control).

#### $264$   $2.3$ . Apparent and molecular solubility

<sup>265</sup> We determined apparent and molecular solubility of ABT-102 <sup>266</sup> in dispersions of F1 in HBSS++ as well as of F1 in FaSSIF.

 First, apparent solubility was investigated. Non-dissolved mate- rial (particles) in the dispersions of the ASD was separated by centrifugation. The concentration of ABT-102 in the clear to opales- cent supernatant was quantified. Apparent solubility was found to 271 be enhanced up to 10 times in case of the dispersion of F1 in HBSS++, in comparison to the crystalline form of ABT-102. Furthermore,





the apparent solubility of the crystalline ABT-102 alone was in the 273 same magnitude as a mixture containing crystalline ABT-102 plus <sub>274</sub> placebo extrudate. FaSSIF as dispersion medium further increased 275 the apparent solubility of ABT-102 in dispersions of F1 (100-fold)  $276$ in comparison to the crystalline  $ABT-102$  in HBSS++. It has been  $277$ reported in the literature, that the taurocholate and the lecithin,  $278$ which are present in FaSSIF, generate micelles that may enhance 279 the solubility of poorly soluble APIs [\(Schwebel](#page-8-1) et [al.,](#page-8-1) [2011\).](#page-8-1)

Inverse dialysis was performed to determine the concentration 281 of molecularly dissolved ABT-102. The cut-off (3500 Da) was cho- <sup>282</sup> sen such that only molecularly dissolved ABT-102 could pass, not 283 micellar-bound or nanoparticulate one. Table 3 shows the molec- <sup>284</sup> ular solubility of ABT-102 in dispersions of the ASD F1 and of  $285$ crystalline ABT-102 in HBSS++ and in FaSSIF. <sup>286</sup>

In the case of  $F1$  dispersed in HBSS++, the molecular solubility  $287$ of ABT-102 was found to be doubled in comparison to the one of 288 crystalline ABT-102 (solubility limit). This indicates that dispers- <sup>289</sup> ing F1 resulted in "true" supersaturation of the ABT-102. Inverse 290 dialysis of a physical mixture, containing placebo extrudate plus <sup>291</sup> crystalline ABT-102, dispersed in HBSS++ did not reveal an increase 292 in the concentrations of molecularly dissolved ABT-102. Obviously, 293 supersaturation is not related to the mere presence of the excipi- <sup>294</sup> ents. Interestingly, the same extent of "true" supersaturation (i.e. 295 enhanced molecular solubility of ABT-102) is observed in FaSSIF as 296 compared to HBSS++. 297

#### **4. Discussion** <sup>298</sup>

The apparent solubility of the ABT-102 containing ASD (F1) in 299 HBSS++ was ten times higher than that of crystalline ABT-102. In 300 addition, the apparent solubility was higher in FaSSIF as compared 301 to buffer, most likely due to micellar solubilization [\(Schwebel](#page-8-1) et [al.,](#page-8-1)  $\qquad$  302 [2011\).](#page-8-1) This indicates two different solubility enhancement mech- $\frac{303}{200}$ anisms, one related to the amorphous solid dispersion and one <sup>304</sup> related to FaSSIF. The two effects appear to coexist. The apparent 305 solubility of the physical mixture of the placebo extrudate and ABT- 306 102 crystals was in the same range as the apparent solubility of  $307$ ABT-102 crystals in HBSS++ alone. Micellar drug solubilization by 308 the three surfactants present in the ASD was thus ruled out. Fur-<br>309 thermore, the concentrations of the surfactants in the dispersion of  $310$ the ASD (at the given concentration) are close to, or well below, the  $311$ 

#### **Table 3**

Solubility of ABT-102. Apparent solubility: Concentrations of ABT-102 in the supernatant after centrifugation of the sample dispersions ( $n = 6\pi$ 7, mean  $\pm$  SD). Molecular solubility: Concentrations of molecularly dissolved ABT-102 in the sample dispersions, assessed by inverse dialysis ( $n = 4\overline{6}$ , mean  $\pm$  SD).



K.J. Frank et al. / International Journal of Pharmaceutics *xxx (2012) xxx–xxx* 5

<span id="page-7-0"></span>312 critical micellar concentrations of these surfactants that are given <sup>313</sup> in literature (polysorbate 80: [Dawson](#page-8-1) et [al.,](#page-8-1) [1989;](#page-8-1) sucrose palmi-<sup>314</sup> tate: Becerra et al., 2006; poloxamer 188: [Cheng](#page-8-1) et [al.,](#page-8-1) [2012\).](#page-8-1) Hence, 315 it is assumed that there are no micelles generated.

 Interestingly, molecular solubility was only found increased by 317 a factor of two in the dispersions of the ASD, irrespective of the dispersion medium (FaSSIF or HBSS++), indicating "true" supersat- uration. In contrast, a physical mixture of the placebo extrudate with the crystalline ABT-102 did not influence molecular solubil- ity. This led us to the conclusion that the increase in molecular solubility is a consequence of the amorphous state of the ABT-102 in the hot melt extrudate. At the same time this rules out potential artifacts caused by surfactants, passing the dialysis membrane and generating solubilizing micelles inside the dialysis vials.

 Although it has been repeatedly hypothesized in literature that ASDs may generate supersaturation [\(Brouwers](#page-8-1) et [al.,](#page-8-1) [2009;](#page-8-1) [Linn](#page-8-1) 328 et [al.,](#page-8-1) [2012;](#page-8-1) [Miller](#page-8-1) et al., [2012\),](#page-8-1) the results presented here are to our knowledge the first experimental proof that molecular solubility is enhanced ("true" supersaturation) in aqueous dispersions of ASDs, even in the presence of FaSSIF micelles.

 Previous literature reported apparent solubility data, which does not distinguish between molecular solubility and colloidal solubilization through micelle- or polymer-association. Neverthe- less a reasonable correlation between supersaturation data gained this way, and bioavailability enhancement has been found in cases where supersaturation is induced/stabilized by mesoporous sil-338 ica and/or polymers: [Van](#page-8-1) [Speybroeck](#page-8-1) et [al.](#page-8-1) [\(2010b\)](#page-8-1) quantified supersaturation of mesoporous silica formulations combined with 340 polymers using 0.45  $\mu$ m pore size membrane filtration, and found reasonable correlation with rat bioavailability data. In another 342 study, [Van](#page-8-1) [Speybroeck](#page-8-1) et [al.](#page-8-1) [\(2010a\)](#page-8-1) correlated in vitro release of various mesoporous silica formulations (filter pore size 0.1  $\mu$ m) with rat bioavailability data, which showed a good correlation 345 between AUCs of the dissolution profiles and the plasma curves.

 For surfactant-containing formulations, however, there appear to be discrepancies between supersaturation/apparent solubility and bioavailability: [Do](#page-8-1) et [al.](#page-8-1) [\(2011\)](#page-8-1) compared apparent solubility (using filtration, pore size 0.45  $\mu$ m) of various "supersaturating" fenofibrate formulations (micellar solubilization), with rat AUC and  $C_{\text{max}}$  and concluded that they were in disagreement. A dis- solution/permeation system was used by [Buch](#page-8-1) et [al.](#page-8-1) [\(2010b\)](#page-8-1) to evaluate both dissolution and permeation across a Caco-2 cell monolayer of 5 "supersaturating formulations". In their data set, fraction dissolved (filtrated 0.2  $\mu$ m) showed only moderate cor- relation with in vitro permeability as well as rat bioavailability. Interestingly, [Buch](#page-8-1) et [al.](#page-8-1) [\(2010a\)](#page-8-1) corrected apparent solubility val- ues generated by centrifugation, with fraction permeated through 10 kDa membranes and found surfactant-dependent correlation with human bioavailability. They explained their observation by a surfactant-specific interaction with FaSSIF micelles. Permeation from dispersions of ASDs containing surfactants has recently been shown to be enhanced as compared to the crystalline API ([Kanzer](#page-8-1) et [al.,](#page-8-1) [2010\).](#page-8-1) However, apparent solubility or molecular solubility was not evaluated at the same time.

<sup>366</sup> In general, passive permeation of poorly soluble and well per-<sup>367</sup> meable drugs should increase with increasing concentrations of <sup>368</sup> dissolved drug. More recently, several reports indicated that col-<sup>369</sup> loidal solubilized drug may not be available for permeation [\(Fischer](#page-8-1) <sup>370</sup> et [al.,](#page-8-1) [2011;](#page-8-1) [Frank](#page-8-1) et [al.,](#page-8-1) [2012;](#page-8-1) [Ingels](#page-8-1) et [al.,](#page-8-1) [2004\).](#page-8-1) Therefore, we <sup>371</sup> correlated permeation rates with apparent solubilities and molec-372 ular solubilities (*Fig. 4*). Our data indicate that in this case "true" 373 supersaturation appears to correlate with enhanced permeation <sup>374</sup> rate, while increase in apparent solubility due to micellar solubi-375 lization appears to have little or no impact on permeation rate. One 376 should bear in mind, that due to experimental constraints (long 377 equilibration times needed for inverse dialysis), the molecularly



**Fig. 4.** Correlation plot: Normalized flux (x-axis) plotted against apparent solubility (left y-axis; solid symbols) and molecular solubility (right y-axis; hollow symbols). Quadrangle: crystals in HBSS++; hexagon: F1 dispersed in FaSSIF; triangle: F1 dispersed in HBSS++.

dissolved ABT-102 in our experiments has been quantified 20 h 378 after dispersing the ASDs, while apparent solubility and perme-<br>379 ation were determined one hour after dispersing the samples in 380 medium. Since supersaturation is known to be a metastable state 381 [\(Brouwers](#page-8-1) et [al.,](#page-8-1) [2009\)](#page-8-1) our values may underestimate the extent of  $382$ supersaturation.

#### **5. Conclusion** 384

The examined ASD enhanced in vitro permeation rate of ABT-<br>385 102 across Caco-2-monolayers as compared to the crystalline 386 drug. Enhanced permeation rate goes in parallel with increased s<sub>87</sub> concentration of molecularly dissolved ABT-102. In contrast, an 388 even higher increase in apparent solubility due to micellariza-<br>389 tion neither affects concentration of molecularly dissolved ABT-102 390 nor permeation rate. To our understanding, the results reported 391 here represent the first experimental proof that permeation rate 392 enhancement in aqueous dispersions of ASDs is due to enhanced 393 concentration of molecularly dissolved ABT-102 ("true" supersaturation) rather than enhanced apparent solubility in the presence 395 of surfactants.

#### **Acknowledgements** 397

We would like to thank Dr. Stephen Buckley for proof-reading of 398 the manuscript and Abbott GmbH & Co. KG, D-67061 Ludwigshafen, 399 Germany for financial support of this study.  $400$ 

#### **Appendix A. Supplementary data** <sup>401</sup>

Supplementary data associated with this article can be 402 found, in the online version, at [http://dx.doi.org/10.1016/j.ijpharm.](http://dx.doi.org/10.1016/j.ijpharm.2012.08.014) 403 [2012.08.014](http://dx.doi.org/10.1016/j.ijpharm.2012.08.014). 404

#### **References** 405

- Amidon, G.L., Lennernas, H., Shah, V.P., Crison, J.R., 1995. A theoretical basis for a 406 biopharmaceutic drug classification: the correlation of in vitro drug product 407 dissolution and in vivo bioavailability. Pharm. Res. 12, 413-420.
- Becerra, N., Nuez, L.R.D., Zanocco, A.L., Lemp, E., 2006. Solubilization of dodac small  $409$ unilamellar vesicles by sucrose esters:  $\lambda$  fluorescence study. Colloids Surf., A 272, 410  $2-7.$  411
- Breitenbach, J., 2002. Melt extrusion: from process to drug delivery technology. Eur. 412 **J. Pharm. Biopharm. 54, 107-117.** 413

<span id="page-8-1"></span>6 K.J. Frank et al. / International Journal of Pharmaceutics *xxx (2012) xxx–xxx*

- 414 Brouwers, J., Brewster, M.E., Augustijns, P., 2009. Supersaturating drug delivery sys-415 tems: the answer to solubility-limited oral bioavailability.  $\lambda$ . Pharm. Sci. 98, 416 2549–2572. 416 2549–2572.
- 417 Buch, P., Holm, P., Thomassen, J.Q., Scherer, D., Branscheid, R., Kolb, U., Langguth, 418 P., 2010a. IVIVC for fenofibrate immediate release tablets using solubility and 419 permeability as in vitro predictors for pharmacokinetics. *J. Pharm. Sci.* 99, 420 4427-4436.<br>421 Buch, P., Holm, I
- Buch, P., Holm, P., Thomassen, J.Q., Scherer, D., Kataoka, M., Yamashita, S., Langguth, 422 P., 2010b. IVIVR in oral absorption for fenofibrate immediate release tablets
- 423 using dissolution and dissolution permeation methods. Pharmazie 65, 723–728. Buckley, S.T., Fischer, S.M., Fricker, G., Brandl, M., 2012. In vitro models to evaluate 425 the permeability of poorly soluble drug entities: challenges and perspectives.<br>426 Eur. L. Pharm. Sci. 45, 235–250. 426 Eur. J. Pharm. Sci. 45, 235–250.
- 427 Cheng, C.-Y., Wank, J.-Y., Kausik, R., Lee, K.Y.C., Han, S., 2012. An ultrasensitive 428 tool exploiting hydration dynamics to decipher weak lipid membrane-polymer<br>429 interactions. I. Magn. Reson. 215. 115-119.
- 429 interactions. J. Magn. Reson. 215, 115–119. 430 Dawson, R.M.C., Elliott, D.C., Elliott, W.H., 1989. Data for Biochemical Research. 431 Clarendon Press.
- 432 Do, T.T., Van Speybroeck, M., Mols, R., Annaert, P., Martens, J., Van Humbeeck, J., Vermant, J., Augustijns, P., Van den Mooter, G., 2011. The conflict between in vitro 434 release studies in human biorelevant media and the in vivo exposure in rats of
- 435 the lipophilic compound fenofibrate. Int. J. Pharm. 414, 118–124. 436 Fischer, S.M., Brandl, M., Fricker, G., 2011. Effect of the non-ionic surfactant Polox-437 amer 188 on passive permeability of poorly soluble drugs across Caco-2 cell<br>438 monolavers Eur I Pharm Biopharm 79 416–422 438 monolayers. Eur. J. Pharm. Biopharm. 79, 416–422.<br>439 Frank, K.J., Westedt, U., Rosenblatt, K.M., Holig, P., R
- Frank, K.J., Westedt, U., Rosenblatt, K.M., Holig, P., Rosenberg, J., Magerlein, M., 440 Brandl, M., Fricker, G., 2012. Impact of FaSSIF on the solubility and dissolution-<br>441 *Inermeation rate of a poorly water-soluble compound. Eur. J. Pharm. Sci. 47.* /permeation rate of a poorly water-soluble compound. Eur. J. Pharm. Sci. 47, 442 16–20.
- 443 Hubatsch, I., Ragnarsson, E.G., Artursson, P., 2007. Determination of drug perme-444 ability and prediction of drug absorption in Caco-2 monolayers.  $Nat$ . Protoc. 2, 2111-2119. 445 2111–2119.
- 446 Ingels, F., Beck, B., Oth, M., Augustijns, P., 2004. Effect of simulated intestinal fluid<br>447 on drug permeability estimation across Caco-2 monolayers. Int. J. Pharm. 274. on drug permeability estimation across Caco-2 monolayers. Int. J. Pharm. 274, 448 221–232.
- <span id="page-8-0"></span>449 Janssens, S., Van den Mooter, G., 2009. Review: physical chemistry of solid dispersions. J. Pharm. Pharmacol. 61, 1571-1586.
- Kanzer, J., Tho, I., Flaten, G.E., Magerlein, M., Holig, P., Fricker, G., Brandl, M., 2010. In 450 vitro permeability screening of melt extrudate formulations containing poorly a51<br>water-soluble drug compounds using the phospholipid vesicle-based barrier. I. a52 water-soluble drug compounds using the phospholipid vesicle-based barrier. J. Pharm. Pharmacol. 62, 1591–1598. **A** 453
- Kym, P.R., Kort, M.E., Hutchins, C.W., 2009. Analgesic potential of TRPV1 antagonists. 454 Biochem. Pharmacol. 78, 211–216. 455
- Leuner, C., Dressman, J., 2000. Improving drug solubility for oral delivery using solid 456<br>dispersions. Eur. I. Pharm. Biopharm. 50. 47–60. dispersions. Eur. J. Pharm. Biopharm. 50, 47-60.
- Linn, M., Collnot, E.M., Djuric, D., Hempel, K., Fabian, E., Kolter, K., Lehr, C.M., 2012.  $458$ Soluplus(R) as an effective absorption enhancer of poorly soluble drugs in vitro 459 and in vivo. Eur. J. Pharm. Sci. 45, 336–343. 460
- Miller, J.M., Beig, A., Carr, R.A., Spence, J.K., Dahan, A., 2012. A win<sub>7</sub> win solution 461 in oral delivery of lipophilic drugs: supersaturation via amorphous solid dis- **Q2** 462 in oral delivery of lipophilic drugs: supersaturation via amorphous solid dispersions increases apparent solubility without sacrifice of intestinal membrane  $\overline{\hspace{1cm}}$  463 permeability. Mol. Pharmacol.<br>
<u>Prhoff. K.A., McConville, I.T., Yang. W., Johnston, K.P., Peters, I.I., Williams 3rd.</u>
- Overhoff, K.A., McConville, J.T., Yang, W., Johnston, K.P., Peters, J.I., Williams 3rd, R.O.J., 2008. Effect of stabilizer on the maximum degree and extent of supersat-<br>uration and oral absorption of tacrolimus made by ultra-rapid freezing. Pharm. uration and oral absorption of tacrolimus made by ultra-rapid freezing. Pharm. Res. 25, 167–175. 468
- Schwebel, H.J., van Hoogevest, P., Leigh, M.L., Kuentz, M., 2011. The apparent sol-<br>ubilizing capacity of simulated intestinal fluids for poorly water-soluble drugs. ubilizing capacity of simulated intestinal fluids for poorly water-soluble drugs. Pharm. Dev. Technol. 16, 278–286. 471
- Singh, A., Worku, Z.A., Van den Mooter, G., 2011. Oral formulation strategies to 472 improve solubility of poorly water-soluble drugs. Expert  $Q$ pin. Drug Delivery 473<br>8 1361–1378 8, 1361–1378.<br>Speybroeck, M., Mellaerts, R., Mols, R., Thi, T.D., Martens, I.A., Van Humbeeck. 475
- Van Speybroeck, M., Mellaerts, R., Mols, R., Thi, T.D., Martens, J.A., Van Humbeeck, J., Annaert, P., Van den Mooter, G., Augustijns, P., 2010a. Enhanced absorption 476 of the poorly soluble drug fenofibrate by tuning its release rate from ordered mesoporous silica. Eur. J. Pharm. Sci. 41, 623–630. 478
- Van Speybroeck, M., Mols, R., Mellaerts, R., Thi, T.D., Martens, J.A., Van Humbeeck, J., 479 Annaert, P., Van den Mooter, G., Augustijns, P., 2010b. Combined use of ordered 480 mesoporous silica and precipitation inhibitors for improved oral absorption 481 of the poorly soluble weak base itraconazole. Eur. J. Pharm. Biopharm. 75, 482
- 354–365. 483 Vasconcelos, T., Sarmento, B., Costa, P., 2007. Solid dispersions as strategy to improve 484 oral bioavailability of poor water soluble drugs. Drug Discovery Today 12, 485 1068–1075. 486